09801490 **Application Number** Filing Date 2001-03-08 INFORMATION DISCLOSURE First Named Inventor Keith STATEMENT BY APPLICANT 3693 Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** D. Felten IVEN125530 Attorney Docket Number

			PATENTS	Remove		
Examir Initial*	ner Cit		Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
De	1	3702007	A	1972-10-31	Davis II	
	2	4346442	A	1982-08-24	Musmanno	
	3	4376978	A	1983-03-15	Musmanno	
	4	4456957	A	1984-06-26	Schieltz	
	5	4823265	A	1989-04-18	Nelson	
	6	5077665	A	1991-12-31	Silverman	
	7 ا	5101353	A	1992-03-31	Lupien	
86	8	5148365	Α	1992-09-15	Dembo	

Application Number		09801490		
Filing Date		2001-03-08		
First Named Inventor	Keith			
Art Unit		3693		
Examiner Name	D. Fe	Iten		
Attorney Docket Number		IVEN125530		

) ,							
7		9	5253165	A	1993-10-12	Leiseca	·	
		10	5297031	A	1994-03-22	Gutterman		
		11	5297032	Α	1994-03-22	Trojan		
		12	5412804	A	1995-05-02	Krishna		
		13	5453601	A ·	1995-09-26	Rosen		
		14	5615269	А	1997-03-25	Micali		
		15	5787402	A	1998-07-28	Potter		
		16	5847845	А	1998-12-08	Takahashi		
		17	5915245	Α	1999-06-22	Patterson, Jr.		
d		18	5953707	Ą	1999-09-14	Huang		·
E	3	19	5983200	Α	1999-11-09	Slotznick	4	

Application Number		09801490		
Filing Date		2001-03-08		
First Named Inventor Keith		1		
Art Unit	-	3693		
Examiner Name	D. Fe	elten		
Attorney Docket Numb	er	IVEN125530		

De		20	6014643	A	2000-01-11	Minton	
		21	6029146	A	2000-02-22	Hawkins	
		22	6035289	A	2000-03-07	Chou	
		23	6068117	Α	2000-05-30	Koehn	
		24	6101484	A	2000-08-08	Halbert ·	
		25	6160204	A	2000-12-12	Steffens	
		26	6236980	B1	2001-05-22	Reese	
		27	6285989	B1	2001-09-04	Shoham	
		28	6317727	B1	2001-11-13	May	
4)	29	6317728	B1	2001-11-13	Kane .	
8	5	30	6321212	B1	2001-11-20	Lange	

Application Number		09801490		
Filing Date		2001-03-08		
First Named Inventor	Keith			
Art Unit		3693		
Examiner Name	D. Fe	lten		
Attorney Docket Number		IVEN125530		

		31	6359633	B1	2002-03-19	Balasubramaniam		
1		<u> </u>						
		32	6408282	B1	2002-06-18	Buist		
		33	6421653	B1	2002-07-16	May		
		34	6505174	B1	2003-01-07	Keiser		
		35	6553346	B1	2003-04-22	Walker		
		36	6601044	B1	2003-07-29	Wallman		
		37	6625583	B1	2003-09-23	Silverman		
		38	6629082	B1	2004-09-30	Hambrecht		
		39	6792399	B1	2004-09-14	Phillips		
8		40	7058601	B1	2006-06-06	Paiz		V
If yo	u wisl	n to ac	ld additional U.S. Paten			ease click the Add button.		Add
				U.S.P.	ATENT APPLIC	CATION PUBLICATIONS	Re	move

Application Number		09801490		
Filing Date		2001-03-08		
First Named Inventor Keith				
Art Unit		3693		
Examiner Name	D. Fe	lten		
Attorney Docket Number		IVEN125530		

Examiner Initial*	Cite No	Publication Number	ion Number Kind Publication		ation	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear			
8	1	20030014354	A1	2003-01-16		Madoff					
8	2	20030014379	A1	2003-01-16		Saias					,
If you wish	to ac	ld additional U.S. Publis	shed Ap	plication	citatio	n information p	please click the Add	d buttor	n. Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remov	е	
Examiner Initial*	Cite No	Foreign Document Number ³	cument Country Ki			Publication Date	Name of Patentee or Applicant of cited Document Pages,Columns, where Relevant Passages or Rele Figures Appear		elevant s or Relevant	T5	
	1										
If you wish	to ac	ld additional Foreign Pa	tent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	-PATEN	NT LITE	RATURE DO	CUMENTS		Remov	е	
	Cite No	Include name of the au (book, magazine, journ publisher, city and/or c	al, seria	al, symp	osium,	catalog, etc), c					Ţ5
Je	1	Board of Trade of the City	. Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp. 178-179, 387.								
gr	2	HULL, J.C., Introduction (26, 33-34.	HULL, J.C., Introduction to Futures & Options Markets, 2d ed., Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34.								
g	3	LIVINGSTON, M., Bonds	and Bon	d Deriva	tives, Bl	ackwell Publishe	ers Inc., Malden, Mas	ss., 1999	9, pp. 38-4	41.	

Application Number		09801490		
Filing Date		2001-03-08		
First Named Inventor Keith				
Art Unit		3693		
Examiner Name D. Fe		iten		
Attorney Docket Number		IVEN125530		

9	4	"More Companies Buying Back Stock," The Salt Lake Tribune, April 9, 2000, http://proquest.umi.com/pqdweb? index=6&did=52501230&SrchMode=1&sid=21&Fmt=3>[retrieved November 3, 2005].							
ap.	5	The Options Institute, "Options: Essential Concepts & Trading Concepts," 3d ed., McGraw-Hill, New York, 1999, p. 420.							
8	6	"Streamer Free Real-Time Stock Quote Service Registers 12,000 Users," PR Newswire, November 3, 1999, http://www.findarticles.com/m4PRN/1999_Nov_3/57153236/p1/article.jhtml [retrieved April 5, 2004].							
If you wisl	n to ac	d additional non-patent literature document citation information please click the Add button Add							
		1/1 EXAMINER SIGNATURE							
Examiner	Signa	ture Date Considered 55/21/4	2						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.									